

ARM Membership – 2010/2011

Firm

Allianz Global Investors

Allstate Financial Services, LLC

American Funds- Capital Group Co.

Bank of America/Merrill Lynch

Barclays Capital Inc.

BNY Convergenx Execution Solutions LLC

Cary Street Partners LLC

Charles Schwab & Co., Inc.

Citigroup Global Markets, Inc.

CNL Securities Corp

Commonwealth Financial Network

Credit Suisse Securities

Deutsche Bank

Edward Jones

Exchange Analytics, Inc.

FBR Capital Markets

Fidelity Investments

Harbor Capital Advisors, Inc.

HSBC Securities (USA) Inc.

ING Financial Partners Inc.

ING Financial Markets LLC

Transamerica Financial Advisors (formerly InterSecurities)

J.P. Morgan Institutional Investments Inc.

Janney Montgomery Scott LLC

JP Morgan Chase

JP Morgan Securities Inc.

Knight Equity Markets, L. P.

Lazard Capital Markets

Lazard Freres & Co. LLC

Legg Mason Investor Services LLC

Lord Abbett Distributor LLC

Macquarie

Marsh & McLennan Co.

Maxim Group LLC

MBSC Securities Corporation (formerly Dreyfus)

Mesirow Financial
Morgan Joseph & Co. Inc.
Morgan Stanley & Co. Incorporated
Morgan Stanley Smith Barney LLC
Nationwide Investment Services Corp
Neuberger Berman, LLC
New York Life Insurance Company
Northwestern Mutual
Park Avenue Securities LLC
Pershing LLC
ProEquities, Inc.
Prudential Financial
Raymond James & Associates, Inc.
RBC Capital Markets Corporation
Renaissance Regulatory Services, Inc.
Robert W. Baird
SD Daniels & Co P.C.
SG Americas Securities, LLC
Stephens Inc.
Stifel, Nicolaus & Company, Inc.
Sunset Financial Services, Inc.
T. Rowe Price Investment
TD Securities (USA) LLC
Thrivent Financial for Lutherans
UBS Financial Services Inc.
UBS Securities LLC
United Planners Financial
USAA Investment Management Company
Waddell & Reed, Inc.
Wells Fargo Advisors